

**Settlement Date: April 3, 2019 at 12:00 p.m.**  
**Objection Deadline: April 2, 2019 at 12:00 p.m.**

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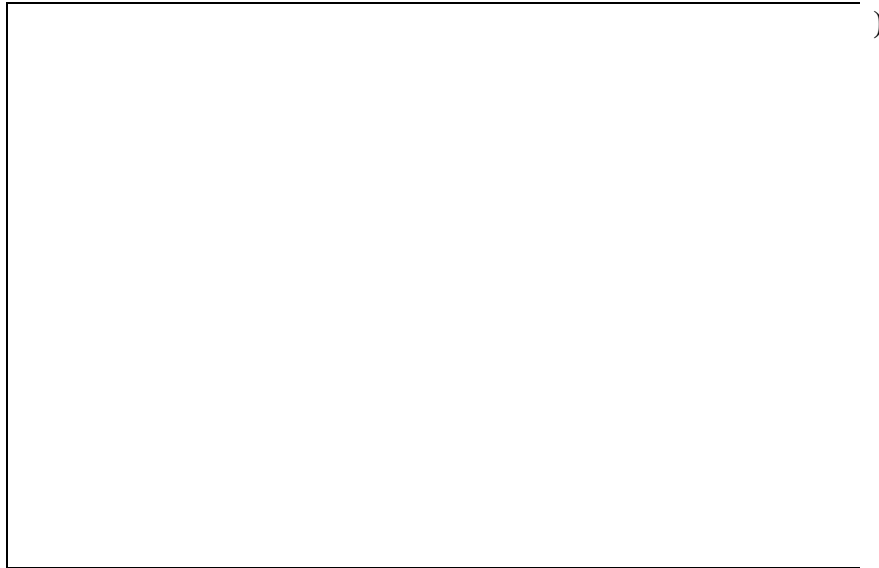
*Attorneys for the Foreign Representatives*

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

<b>In re:</b>	) <b>Chapter 15 Case</b>
	)
<b>FAIRFIELD SENTRY LIMITED, et al.,</b>	) <b>Case No. 10-13164 (SMB)</b>
	)
<b>Debtors in Foreign Proceedings.</b>	) <b>Jointly Administered</b>
	)
<b>FAIRFIELD SENTRY LIMITED (IN LIQUIDATION) and</b>	)
<b>FAIRFIELD SIGMA LIMITED (IN LIQUIDATION),</b>	)
<b>acting by and through the Foreign Representatives thereof,</b>	)
<b>and KENNETH KRYIS and CHARLOTTE CAULFIELD,</b>	)
<b>solely in their capacities as Foreign Representatives and</b>	) <b>Adv. Pro. No. 10-03635</b>
<b>Liquidators thereof,</b>	) <b>(SMB)</b>
	)
<b>Plaintiffs,</b>	)
	)
<b>-against-</b>	)
	)
<b>ABN AMRO SCHWEIZ AG A/K/A ABN AMRO</b>	)
<b>(SWITZERLAND) AG, ADLER AND CO PRIVATBANK</b>	)
<b>AG, ALLIANZBANK SPA/UNIFORTUNE</b>	)
<b>CONSERVATIVE SIDE POCKET, ALTERNATIVE</b>	)
<b>INVESTMENT STRATEGIES, ARSENAL SPC,</b>	)
<b>ARSENAL SPC OBO GLASGOW SEG PORT, BANCA</b>	)
<b>ARNER SA, BANCA UNIONE DI CREDITO, BANK</b>	)
<b>HAPOALIM SWITZERLAND LTD., BANK JULIUS</b>	)
<b>BAER &amp; CO. LTD., BANK SARASIN &amp; CIE, BANQUE</b>	)
<b>CANTONALE VAUDOISE, BANQUE CRAMER &amp; CIE</b>	)
<b>SA, BBVA (SUISSE) SA, BCV AMC DEFENSIVE AL</b>	)
<b>FUND, BNP PARIBAS (SUISSE) SA, BNP PARIBAS</b>	)
<b>(SUISSE) SA EX FORTIS, BNP PARIBAS (SUISSE) SA</b>	)
<b>PRIVATE, BSI AG, BSI EX BANCA DEL GOTTARDO,</b>	)

CACEIS BANK LUXEMBOURG, CBB (BVI)/ THE )  
ALKIMA FUND, CBT GEMS LOW VOL REG, )  
COMPAGNIE BANCAIRE HELVETIQUE, CENTRUM )  
BANK AG (AMS), CLARIDEN LEU LTD., CORNER )  
BANCA SA, CREDIT SUISSE AG ZURICH, DEXIA )  
BANQUE INTERNATIONALE A LUXEMBOURG, )  
DRESDNER BANK SCHWEIZ, EFG BANK SA )  
SWITZERLAND, EFG EUROFINANCIER D'INVEST )  
MCL, ENDURANCE ABSOLUTE LTD. MASTER, )  
FAIRFIELD INVESTMENT GCI, FAIRFIELD )  
INVESTMENT FUND LTD., FALCON PRIVATE BANK, )  
FIF ADVANCED LTD., FINTER BANK ZURICH, )  
HARMONY CAPITAL FUND LTD., HSBC, IHAG )  
HANDELSBANK AG, INCORE BANK AG, KARASEL )  
ENHANCED PORTFOLIO, KARLA )  
MULTISTRATEGIES LTD., LGT BANK IN )  
LIECHTENSTEIN AG, LIECHTENSTEINISCHE LB )  
REINVEST AMS, LLOYDS TSB BANK GENEVA, )  
LOMBARD ODIER DARIER HENTSCHE & CIE, )  
LONGBOAT LTD., MASTER CAPITAL AND HEDGE )  
FUND, NATIONAL BANK OF KUWAIT, NBK BANQUE )  
PRIVEE SUISSE SA, PICTET & CIE, PKB )  
PRIVATBANK AG, QUASAR FUNDS SPC A/K/A )  
QUASAR FUND SPC CLASS A AND CLASS B CGCNV, )  
RBC DEXIA INVESTOR SERVICE JULIUS BAER )  
SICAV, RBS COUTTS BANK LTD., RICHOURT AAA )  
MULTISTRATEGIES, ROTHSCHILD BANK AG )  
ZURICH (DUBLIN) A/K/A ROTHSCHILD BANK AG, )  
ROTHSCHILD BANK GENEVA (DUBLIN), )  
ROTHSCHILD LUGANO DUBLIN A/K/A BANCA )  
PRIVATA EDMOND DE ROTHSCHILD LUGANO S.A., )  
EDMOND DE ROTHSCHILD (SUISSE) S.A., SIS )  
SEEGANINTERSETTLE, SIX SIS LTD., SOCIETE )  
GENERALE BANK & TRUST, SOUNDVIEW FUND, )  
SWISSCANTO FD CENTRE CLIENTS A/C, T1 GLOBAL )  
FUND LTD., UBS AG NEW YORK, UBS AG ZURICH, )  
UBS JERSEY NOMINEES, VERWALTUNGS UND )  
PRIVAT-BANK AG AKTIENGESELLSCHAFT (AMS), )  
VORARLBERGER LANDES UND HYPOTHEKENBANK )  
AKTIENGESELLSCHAFT AND BENEFICIAL OWNERS )  
OF ACCOUNTS HELD IN THE NAME OF CGC NA )  
11000, BRUDERER, CAPITALIA, PAN )  
INTERNATIONAL LIMITED )

Defendants. )



**NOTICE OF SETTLEMENT OF ORDER  
IMPLEMENTING DECEMBER 6, 2018 MEMORANDUM  
DECISION GRANTING IN PART AND DENYING IN PART DEFENDANTS'  
MOTIONS TO DISMISS AND PLAINTIFFS' MOTIONS FOR LEAVE TO AMEND**

**PLEASE TAKE NOTICE** that pursuant to the Memorandum Decision Granting in Part and Denying in Part Defendants' Motions to Dismiss and Plaintiffs' Motions for Leave to Amend, entered by the Court in the administratively consolidated adversary proceeding (Adv. Pro No. 10-03496) on December 6, 2018 and upon direction of the Court, and in accordance with Local Bankruptcy Rule 9074-1(a), the undersigned will present the proposed order, attached hereto as **Exhibit 1** (the "Proposed Order"), to the Honorable Stuart M. Bernstein, United States Bankruptcy Judge, for settlement and signature in his chambers located at the United States Bankruptcy Court for the Southern District of New York, One Bowling Green, New York, New York 10004 on **April 3, 2019 at 12:00 p.m.**

**PLEASE TAKE FURTHER NOTICE** that to the extent there is a counter-proposed order or objection to the proposed order, it must be in writing and filed with the Clerk of the United States Bankruptcy Court for the Southern District of New York, One Bowling Green, New York, New York 10004 by no later than **April 2, 2019 at 12:00 p.m.** (the "Objection

Deadline”), with a courtesy copy delivered to the chambers of the Honorable Stuart M. Bernstein; and served upon counsel for the Liquidator, Brown Rudnick LLP, 7 Times Square, New York, New York 0036, Attn: David J. Molton, Esq. Any objection to the proposed order must specifically state the interest the objecting party has in these proceedings and the specific basis of any objection. Unless a counter-proposed order or written objection to the proposed order is received before the objection deadline, the proposed order may be signed and entered by the Court without further notice.

Dated: New York, New York

March 27, 2019

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<sup>1</sup> This Notice is also directed to any defendant in this adversary proceeding that has failed to appear.

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